



INDIALAW

Statutory And Regulatory Compliance

Leading Statutory and Regulatory Compliance Law Firm in India for SEBI and FEMA

PRACTICE PROFILE • MAY 2026

Overview

We provide comprehensive legal advisory services to help businesses navigate India's complex and ever-evolving regulatory landscape. Our Statutory and Regulatory Compliance practice assists clients across industries in developing robust compliance frameworks, conducting audits, and implementing effective risk management strategies. With deep expertise across multiple regulatory regimes, we help clients maintain compliance while achieving their business objectives. Our team supports companies of all sizes from startups to multinational corporations in identifying compliance requirements, implementing appropriate systems, and addressing regulatory challenges proactively.

Our Services

Corporate, Securities, Foreign Investment and Regulatory Compliance

- Developing and implementing robust compliance frameworks aligned with national and international standards
- Preparing comprehensive compliance manuals and conducting staff training programs
- Performing compliance audits to identify and address potential gaps
- Supporting ongoing regulatory reporting and compliance management
- Navigating complex regulatory requirements under:
 - Companies Act, 2013
 - Securities and Exchange Board of India (SEBI) Act
 - Securities Contract Regulations Act
 - Foreign Exchange Management Act (FEMA)
- Assisting with foreign investment approvals and overseas investment compliance
- Representing clients in regulatory investigations and enforcement actions
- Advising on corporate governance best practices and disclosure requirements
- Supporting compliance with stock exchange listing rules and regulations
- Conducting secretarial audits and statutory compliance reviews

Banking and Finance Compliance

- Providing comprehensive regulatory advice to banks, NBFCs, ARCs, and financial service providers
- Assisting with compliance under RBI regulations, circulars, and master directions
- Developing and implementing anti-money laundering (AML) policies and procedures
- Establishing know-your-customer (KYC) protocols and compliance frameworks
- Supporting clients in securing and maintaining regulatory licenses and registrations
- Advising on regulatory compliance for lending platforms and fintech companies
- Conducting regulatory compliance audits for financial institutions
- Providing guidance on financial data protection and privacy requirements
- Assisting with regulatory filings and periodic reporting obligations
- Representing clients before financial regulatory authorities

Real Estate Compliance

- Assisting with Real Estate (Regulation and Development) Act, 2016 (RERA) registration and ongoing compliance
- Conducting comprehensive compliance audits for real estate developers
- Preparing accurate project documentation meeting RERA's disclosure standards
- Drafting and reviewing agreements, sales documents, and project plans for regulatory compliance
- Navigating complex regulatory requirements including:
 - Zoning laws and land use regulations
 - Environmental impact assessments
 - Building codes and development standards
- Assisting clients in obtaining critical approvals:

- Building permissions
- Fire NOC
- Non-agricultural (NA) permissions
- Coastal Regulation Zone (CRZ) approvals
- Advising on title clearance and land acquisition compliance
- Supporting compliance with real estate taxation requirements
- Representing clients before RERA authorities and appellate tribunals
- Developing compliance frameworks for property management companies

Pharmaceutical Compliance

- Advising on compliance with the Drugs and Cosmetics Act, 1940 and related regulations
- Assisting with Central Drugs Standard Control Organization (CDSCO) requirements
- Supporting compliance with Good Manufacturing Practices (GMP) standards
- Advising on clinical trials regulation and ethical committee requirements
- Developing pharmacovigilance compliance programs
- Guiding clients on pharmaceutical advertising standards and regulations
- Ensuring compliance with drug import/export regulations
- Supporting intellectual property protection for pharmaceutical products
- Conducting compliance audits for pharmaceutical manufacturing facilities
- Developing tailored training programs for regulatory compliance
- Assisting with labeling, packaging, and distribution compliance
- Representing clients before drug regulatory authorities
- Advising on price control regulations and compliance

Environmental Compliance

- Providing guidance on environmental permitting and regulatory approvals
- Advising on waste management and pollution control compliance
- Assisting with environmental impact assessment procedures
- Supporting compliance with industry-specific environmental regulations
- Representing clients before regulatory bodies including:
 - Pollution Control Boards
 - National Green Tribunal
 - Ministry of Environment, Forest and Climate Change
- Assisting with responses to regulatory notices and enforcement actions
- Developing environmental compliance management systems
- Supporting sustainability initiatives and ESG compliance
- Advising on greenhouse gas (GHG) emissions reduction requirements
- Assisting with carbon reporting and disclosure obligations
- Guiding clients on energy efficiency and renewable energy compliance
- Conducting environmental compliance audits and risk assessments
- Providing training on environmental regulations and best practices

Environmental, Social, and Governance (ESG) Practice

- Developing comprehensive ESG strategies and implementation frameworks
- Ensuring compliance with local and international ESG regulations
- Advising on climate change regulations and carbon disclosure requirements
- Developing supply chain transparency and responsible sourcing programs
- Creating diversity and inclusion policies and compliance frameworks
- Implementing corporate social responsibility initiatives
- Establishing ESG governance structures and reporting mechanisms

- Conducting ESG due diligence for transactions and investments
- Advising on sustainable finance and green bond requirements
- Creating stakeholder engagement strategies on ESG matters
- Developing ESG disclosure and reporting frameworks
- Assisting with ESG risk assessment and mitigation strategies

Data Protection and Privacy

- Providing compliance guidance on data protection regulations including:
 - Digital Personal Data Protection Act (DPDPA)
 - Information Technology Act and Rules
 - GDPR
 - Industry-specific privacy requirements
- Conducting data protection impact assessments
- Developing comprehensive privacy policies and data protection procedures
- Creating data breach response protocols and notification frameworks
- Conducting data protection compliance audits
- Developing employee training programs on data handling best practices
- Advising on cross-border data transfer compliance
- Supporting data localization requirements implementation
- Creating consent frameworks and management systems
- Representing clients in data protection regulatory matters
- Advising on data retention and destruction policies

Legal Metrology Compliance

- Ensuring adherence to measurement, packaging, and labelling standards
- Assisting with legal metrology registrations and certifications
- Advising on compliance with the Legal Metrology Act and Rules
- Conducting audits of packaging and labelling practices
- Supporting compliance with net quantity declarations
- Advising on maximum retail price (MRP) compliance
- Assisting with responses to legal metrology enforcement actions
- Developing compliance frameworks for manufacturing and retail operations
- Training staff on legal metrology requirements
- Representing clients before legal metrology authorities

Food Safety and Regulatory Compliance

- Advising on compliance with Food Safety and Standards Act, 2006
- Assisting with Food Safety and Standards Authority of India (FSSAI) licensing
- Supporting food safety standards implementation
- Developing compliant labelling and packaging designs
- Advising on nutrition and health claims compliance
- Supporting import/export compliance for food products
- Conducting food safety compliance audits
- Developing food recall procedures and crisis management protocols
- Assisting with responses to FSSAI notices and inspections
- Training on food safety regulatory requirements
- Representing clients before food safety authorities
- Advising on product formulation and ingredient compliance

Labor Law Compliance

- Advising on registration and compliance under:

- Code on Wages
- Code on Social Security
- Industrial Relations Code
- Occupational Safety, Health, and Working Conditions (OSHC) Code
- Contract Labour laws
- Prevention of Sexual Harassment (POSH) Act
- Conducting comprehensive labor compliance audits
- Developing action plans to address compliance gaps
- Creating and reviewing employment policies and handbooks
- Assisting with statutory registrations and licenses
- Supporting compliance with working hours, leave, and wage regulations
- Representing clients during labor department inspections
- Advising on employee benefits and statutory contributions
- Providing representation in labor tribunals and courts
- Developing POSH compliance frameworks and committee formation
- Conducting workplace assessment and safety compliance reviews

BIS and Standards Compliance

- Assisting with Bureau of Indian Standards (BIS) certification processes
- Supporting International Organization for Standardization (ISO) compliance
- Guiding clients through product certification procedures
- Advising on compliance with mandatory and voluntary standards
- Conducting standards compliance gap analysis
- Assisting with testing and quality control requirements
- Supporting documentation for standards certification
- Advising on labeling requirements for certified products
- Representing clients during BIS inspections and audits
- Assisting with certification renewals and modifications
- Developing compliance frameworks for standards adherence
- Training staff on standards compliance requirements

Audit and Training

- Conducting comprehensive legal and regulatory compliance audits
- Identifying compliance gaps and developing remediation strategies
- Designing customized compliance training programs for management and employees
- Developing compliance manuals and standard operating procedures
- Creating compliance calendars and monitoring systems
- Implementing risk management frameworks and controls
- Providing board and management-level compliance training
- Conducting mock regulatory inspections and audit readiness programs
- Developing compliance reporting mechanisms
- Assisting with third-party compliance assessments
- Supporting compliance documentation and record-keeping systems
- Creating compliance culture and awareness programs

Frequently Asked Questions

Q1**What does statutory and regulatory compliance advisory cover in India?**

It covers identifying all applicable laws, building internal compliance frameworks, conducting periodic audits, managing regulatory filings, and training staff. The scope spans corporate law, SEBI regulations, FEMA, RBI directions, tax statutes, labour codes, and sector-specific rules relevant to your business.

Q2**When should a business invest in a formal compliance programme?**

Ideally before operations begin, but certainly when you cross thresholds such as employee count triggers under labour codes, turnover limits under GST, or foreign investment levels requiring FEMA reporting. Regulatory scrutiny has intensified, and penalties now include personal liability for directors under the Companies Act 2013.

Q3**Which regulators and statutes commonly apply to Indian businesses?**

Most companies must comply with MCA filings under the Companies Act 2013, GST obligations, SEBI rules if listed, FEMA if receiving or making foreign investments, and RBI directions if in financial services. Sector-specific regulators like IRDAI, TRAI, or DGCA add additional layers depending on the industry.

Q4**How long does it take to build a compliance framework from scratch?**

A gap assessment typically takes four to six weeks. Designing policies, manuals, and reporting workflows adds another six to ten weeks depending on entity count and regulatory complexity. Key cost drivers include the number of jurisdictions, sector-specific licences involved, and whether multi-entity group structures require consolidated compliance mapping.

Q5**What documents are needed to begin a compliance audit engagement?**

We typically need the certificate of incorporation, board resolutions, existing compliance calendars, prior audit reports, regulatory licences, annual return filings with MCA, FEMA filings such as FC-GPR or FC-TRS, GST returns, and any past correspondence with regulators. A complete company organogram helps us map obligations accurately.

Q6**What compliance mistakes do Indian companies most frequently make?**

Common pitfalls include missing annual filing deadlines with MCA or RBI, failing to update beneficial ownership records, overlooking downstream investment reporting under FEMA, and treating compliance as a one-time exercise. These gaps often surface during due diligence, delaying fundraising or M&A transactions significantly.